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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/732,169
Filing Date	December 6, 2000
First Named Inventor	HENDERSON, DANIEL R.
Group Art Unit	1635
Examiner Name	WHITEMAN, BRIAN A.
Attorney Docket Number	CELL-004CON

Total Number of Pages in This Submission 4

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☒ Request for Corrected filing Receipt
- ☒ Marked-up copy of Filing Receipt
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  - 1. Return Receipt Postcard

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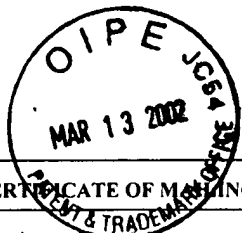
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	PAMELA J. SHERWOOD, Reg. No. 36.677
Signature	
Date	March 5, 2002

### CERTIFICATE OF MAILING

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Typed or printed name	Susan M. Alessi
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Atty Dkt. No. 348022000201 (CELL-004CON)  
USSN: 09/732,169

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Susan M. Alessi		
Signature	<i>Susan M. Alessi</i>	Date	03-05-2002
<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  Address to: Office of Initial Patent Examination Commissioner for Patents Washington, D.C. 20231	Attorney Docket	CELL-004CON	
	Applicant	HENDERSON, DANIEL R.	
	Serial Number	09/732,169	
	Filing Date	December 6, 2000	
	Group Art Unit	1635	
	Examiner Name	WHITEMAN, BRIAN A.	
	Title:	"TISSUE SPECIFIC ADENOVIRAL VECTORS"	

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Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the "Domestic Priority data as claimed by applicant" to read: -- WHICH IS A CIP OF 08/669,753 06/26/1996 PAT 5,871,726 -- as indicated on the marked-up copy of the Filing Receipt.
- (2) Please correct the "Attorney Docket No." to read: -- CELL-004CON -- as indicated on the marked-up copy of the Filing Receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815, Order No. CELL-004CON.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: March 5, 2002

By: *Pamela J. Sherwood*  
Pamela J. Sherwood  
Registration No. 36,677

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/732,169	12/06/2000	1633	550	3480220000204	44	30	4

"CELL-004001"

CONFIRMATION NO. 6741

Catherine M. Polizzi  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018

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UPDATED FILING RECEIPT



\*OC000000007082944\*

PLEASE UPDATE

MORRISON &amp; FOERSTER, LLP

Date Mailed: 11/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Daniel R. Henderson, Palo Alto, CA;  
Eric R. Schuur, Palo Alto, CA;

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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/151,376 09/10/1998

~~WHICH IS A CIP OF 08/669,753 08/20/1996 PAT 5,807,642~~

WHICH IS A CIP OF 08/495,034 06/27/1995 PAT 5,698,443

THIS APPLICATION 09/732,169

IS A CIP OF 09/033,428 03/02/1998 PAT 6,254,862

WHICH CLAIMS BENEFIT OF 60/039,597 03/03/1997

AND A CIP OF 09/033,555 03/02/1998

WHICH CLAIMS BENEFIT OF 60/039,763 03/03/1997

AND A CIP OF 09/033,333 03/02/1998 PAT 6,197,293

WHICH CLAIMS BENEFIT OF 60/039,762 03/03/1997

AND CLAIMS BENEFIT OF 60/039,599 03/03/1997

AND CLAIMS BENEFIT OF 60/054,523 08/04/1997

AND CLAIMS BENEFIT OF 60/076,545 03/02/1998

(\*) Data inconsistent with PTO records.

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PLEASE REPLACE WITH:

"WHICH IS A CIP OF  
08/669,753 06/26/1996  
PAT 5,871,726"

## Foreign Applications

If Required, Foreign Filing License Granted 01/24/2001

Projected Publication Date: 02/28/2002

Non-Publication Request: No

12/13/01  
DOCKETED- Correct AFR 12/23/2001  
Priority Data

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Tissue specific adenoviral vectors

Preliminary Class

424

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 6741

<b>SERIAL NUMBER</b> 09/732,169	<b>FILING DATE</b> 12/06/2000 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1635	<b>ATTORNEY DOCKET NO.</b> CELL-004CON
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## APPLICANTS

Daniel R. Henderson, Palo Alto, CA;  
Eric R. Schuur, Palo Alto, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CON OF 09/151,376 09/10/1998  
WHICH IS A CIP OF 08/669,753 06/26/1996 PAT 5,871,726 \*  
WHICH IS A CIP OF 08/495,034 06/27/1995 PAT 5,698,443  
THIS APPLICATION 09/732,169  
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AND CLAIMS BENEFIT OF 60/054,523 08/04/1997  
AND CLAIMS BENEFIT OF 60/076,545 03/02/1998  
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## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

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\*\* 01/24/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 44	TOTAL CLAIMS 30	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

## ADDRESS

Linda R. Judge  
CELL GENESYS Inc.  
342 Lakeside Drive  
Foster City, CA 94404

## TITLE

Tissue specific adenoviral vectors

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